

AUG 14 2002

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Express Mail Label No. EV140281531US

TECHNOLOGY CENTER R3700 PATENT APPLICATION

Docket No.: 11502/15:1

(13861.21.2)

#9  
ALWAYS  
9/12/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Blatter et al.

Serial No.: 09/736,937

Filed: December 14, 2000

For: LOCKING COMPRESSION PLATE APPARATUS

Examiner: William W. Lewis

Art Unit  
3731

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R § 1.97**

TO THE COMMISSIONER FOR PATENTS:

Pursuant to his (her) (their) duty of disclosure, applicant(s) enclose(s) copies (a copy) of the document(s) listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:

- a. ☐ Within three months of the filing date of the above-identified application or within three months of the date of entry of the national stage, or before the mailing date of the first Office action on the merits, whichever event occurs last. (No statement under 37 CFR 1.97(e) is required.)
- b. ☒ After the period set forth in paragraph 1a, but before the mailing date of either a final action or a notice of allowance. (Check box i. or ii.)
- i. ☒ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.

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- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the information disclosure statement and the \$130.00 petition fee set forth in 37 CFR 1.17(i) are enclosed.
- d. ☐ Concurrent with the filing of a Request for Continued Examination
2. ☐ The attorney or agent signing below hereby states that:
- a. ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- b. ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

DATED this 9<sup>TH</sup> day of AUGUST, 2002.

Respectfully submitted,

*Kevin B. Laurence*

Kevin B. Laurence  
Registration No. 38,219

STOEL RIVES LLP



32642

PATENT TRADEMARK OFFICE

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

11502/15:1 US (13861.21.2)

In Re Application Of: **Blatter et al.**

AUG 09 2002

Serial No.

09/736,937

Filing Date

December 14, 2000

Examiner

William W. Lewis

Group Art Unit

3731

Title: **LOCKING COMPRESSION PLATE APPARATUS****RECEIVED**

AUG 14 2002

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

TECHNOLOGY CENTER R3700

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ ~~The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:~~

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

11502/15:1 US (13861.21.2)

In Re Application Of: Blatter, et al.

Serial No.

09/736,937

Filing Date

December 14, 2000

Examiner

William W. Lewis

Group Art Unit

3731

Title: LOCKING COMPRESSION PLATE APPARATUS

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4455 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Kevin B. Laurence*  
Signature

Dated: August 9, 2002

Kevin B. Laurence  
Registration No. 38,219  
STOEL RIVES, LLP



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PATENT TRADEMARK OFFICE

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Blatter et al.

Docket No.

11502/15:1 US (13861.21.2)

Serial No.

09/736,937

Filing Date

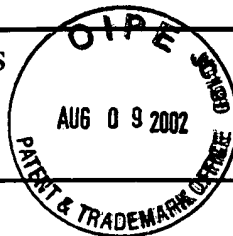
December 14, 2000

Examiner

William W. Lewis

Group Art Unit

3731

Invention: **LOCKING COMPRESSION PLATE APPARATUS**

I hereby certify that this **Information Disclosure Statement and PTO-Form 1449 (including the items below)**  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on

August 9, 2002

(Date)

Kevin B. Laurence

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in cursive script that reads "Kevin B. Laurence".

(Signature of Person Mailing Correspondence)

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**Note: Each paper must have its own certificate of mailing.**

Transmitted: Information Disclosure Statement (2 pgs.)  
PTO-Form 1449 listing forty-one (41) references  
Copies of forty-one (41) references  
Check No. 203272 in the amount of \$180.00  
Transmittal for Information Disclosure Statement (2 pgs.)  
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